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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. UTSD:1515

First Named Inventor or Application Identifier Brown et al.

Title Prenylation Inhibitors Reduce Host Cell Permissiveness to Viral Replication

Express Mail Label No. EV324953150US

**EV324953150US**

22581 U.S. PTO  
10/690891



102103

ADDRESS TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  X  \*Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  X  Applicant claims small entity status (see 37 CFR 1.27)
3.  X  Specification (Total Pages  20 )  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4.     Drawings(s) (35 USC 113) (Total Sheets    )
5.  X  Oath or Declaration (Total Pages  3 )
  - a.  X  Newly Executed (Original or Copy)
  - b.     Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
  - i.      DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.     Application Data Sheet. See 37 CFR 1.76
7.     CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

**8. Nucleotide and/or Amino Acid Sequence Submission**

(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)  
b. ☐ Specification Sequence Listing on: i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper  
c. ☐ Statement verifying identity of above copies  
d. ☐ Request to use CRF from another application

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & documents(s))  
10. ☐ 37 CFR 3.73(b) Statement (where there is an assignee)  
☐ Power of Attorney  
11. ☐ English Translation Document (if applicable)  
12. ☐ a. Information Disclosure Statement (IDS)/PTO-1449  
☐ b. Copies of IDS Citations  
13. ☐ Preliminary Amendment  
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16. ☐ Other: \_\_\_\_\_

**17. Priority**

This application claims priority to prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_  
The entire disclosure of the prior application, from which an oath or declaration is supplied under 5b, is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. Correspondence Address**

☒ Customer Number or Bar Code Label 23379  
(Insert Customer No. or Attach Bar Code Label here)

or

☒ Correspondence Address Below

NAME Richard Aron Osman  
SCIENCE & TECHNOLOGY LAW GROUP

ADDRESS 75 Denise Drive

CITY Hillsborough STATE California ZIP CODE 94010

Country U.S.A. TELEPHONE (650) 343-4341 FAX (650) 343-4342

Name: Richard Aron Osman Registration No: 36,627

Signature:  Date: October 21, 2003

# FEE TRANSMITTAL EFFECTIVE OCTOBER 1, 2003

Fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) \$425.00

Complete if Known:

Application No. Not yet assigned

Filing Date Herewith

First Named Inventor Brown et al.

Group Art Unit Not yet assigned

Examiner Name Not yet assigned

Attorney Docket No. UTSD:1515

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees to:

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit any over payments to:

☒ Applicant claims small entity status. See 37 CFR 1.27

Deposit Account Number 19-0750

Deposit Account Name Science & Technology Law Group

2. ☒ Payment Enclosed

☒ Check

☐ Money Order

☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
1001	770	2001	385	Utility application filing fee	<u>385.</u>
1002	340	2002	175	Design application filing fee	<u>          </u>
1003	530	2003	265	Plant filing fee	<u>          </u>
1004	770	2004	385	Reissue filing fee	<u>          </u>
1005	160	2005	80	Provisional application filing fee	<u>          </u>

SUBTOTAL (1) \$ 385.00

### 2. CLAIMS

			Extra		Fee from below		Fee Paid
Total Claims	<u>15</u>	- 20 =	<u>0</u>	X	<u>          </u>	=	<u>0</u>
Independent Claims	<u>1</u>	- 3 =	<u>0</u>	X	<u>          </u>	=	<u>0</u>
Multiple Dependent Claims			<u>0</u>	X	<u>          </u>	=	<u>0</u>

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
1202	18	2202	9	Claims in excess of twenty	<u>0</u>
1201	86	2201	43	Independent claims in excess of 3	<u>0</u>
1203	290	2203	145	Multiple dependent claim	<u>0</u>
1204	86	2204	43	Reissue independent claims over original patent	<u>0</u>
1205	18	2205	9	Reissue claims in excess of 20 and over original patent	<u>0</u>

SUBTOTAL (2) \$ 0.0

# **FEE CALCULATION (continued)**

## **3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fe</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>		
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for response within first month	
1252	420	2252	210	Extension for response within second month	
1253	950	2253	475	Extension for response within third month	
1254	1,480	2254	740	Extension for response within fourth month	
1255	2,010	2255	1,005	Extension for response within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive unavoidably abandoned application	
1453	1,330	2453	665	Petition to revive unintentionally abandoned application	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00
1809	770	2809	385	For filing a submission after final rejection (see 37 CFR 1.129(a))	
2810	770	1810	385	For each additional invention to be examined (see 37 CFR 1.129(a))	
Other fee (specify) _____					
Other fee (specify) _____					

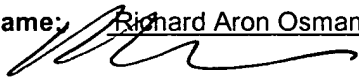
**SUBTOTAL (3) \$ 40.00**

\*Reduced by Basic Filing Fee Paid

### **SUBMITTED BY:**

Typed or Printed Name: Richard Aron Osman

Signature



Date October 21, 2003

Reg. Number 36,627

Deposit Account User ID \_\_\_\_\_

(complete if applicable)